



FORTNIGHT CLIENTS' ASSETS SEGREGATION STATEMENT (CASS)

Ayub Chaudhry Investments (Private) Limited
15 SEP,2022

Sep 29, 2022 5:22 PM

| | |
|--------------------|---------------------------------------------|
| Statement Date | 15-SEP-2022 |
| Submission Date | 29-Sep-2022 |
| Security Rate | |
| Name TREC | Ayub Chaudhry Investments (Private) Limited |
| Incorporation No | 0057347 |
| TREC No | 239 |
| Participant ID No | |
| Clearing Member No | |

| | |
|--------------|--------------|
| Due Date | 30-Sep-2022 |
| New Revision | |
| Statement ID | 3916 - 20179 |

| Section-A: Details relating to securities | | | | | | | | | | | | | | | | | |
|-------------------------------------------|----------------------|---------------------|-----------------------------------------------|---------------------|----------------------|---------------------|----------------------|---------------------|------------------------------------|----------------------|---------------------|------------------------------------------------------------|---------------------|----------------------|---------------------|----------------------|---------------------|
| SECURITIES AS PER BACK OFFICE RECORD | | | | | | | | | SECURITIES AS PER CDC RECORD | | | | | | | | |
| Description | House Account | | Directors/ Sponsors/CEO / Shareholder Account | | Employees Account | | Client Account | | Description | House Account | | Sub-accounts Directors/ Sponsors/CEO / Shareholder Account | | Employees Account | | Client Account | |
| | Number of Securities | Value of Securities | Number of Securities | Value of Securities | Number of Securities | Value of Securities | Number of Securities | Value of Securities | | Number of Securities | Value of Securities | Number of Securities | Value of Securities | Number of Securities | Value of Securities | Number of Securities | Value of Securities |
| Securities held | 635,073 | 29,491,937 | 835,291 | 41,078,371 | 15,169 | 438,111 | 20,726,494 | 371,457,098 | Securities available | 139,683 | 7,884,768 | 835,291 | 41,078,371 | 15,169 | 438,111 | 20,744,511 | 371,457,098 |
| | | | | | | | | | Securities pledged with PSX/ NCCPL | 495,390 | 21,607,169 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | Securities pledged with Banks | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | Freeze shares | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | Securities in Blocked a/c | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | Pending In | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | Pending Out | 0 | 0 | 0 | 0 | 0 | 0 | 31,556 | 0 |
| | | | | | | | | | Reconciling entries: | | | | | | | | |
| | | | | | | | | | 1: Pre-settlement delivery | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 2: MF Securities | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 3: MTS securities | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 4: Physical shares | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 5: Right Shares subscription | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 6: Short delivery from NCCPL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 7: Square up transaction | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | 8: Others | 0 | 0 | 0 | 0 | 0 | 0 | -49,573 | 0 |

Sep 29, 2022 5:22 PM



FORTNIGHT CLIENTS' ASSETS SEGREGATION STATEMENT (CASS)

Ayub Chaudhry Investments (Private) Limited
15 SEP,2022

Sep 29, 2022 5:22 PM

| | | | | | | | | | | | | | | | | | |
|--|------------|------------|---------|------------|--------|---------|------------|-------------|--|------------|------------|---------|------------|--------|---------|------------|-------------|
| | 635,073 | 29,491,937 | 835,291 | 41,078,371 | 15,169 | 438,111 | 20,726,494 | 371,457,098 | | 635,073 | 29,491,937 | 835,291 | 41,078,371 | 15,169 | 438,111 | 20,726,494 | 371,457,098 |
| | 22,212,027 | | | | | | | | | 22,212,027 | | | | | | | |

| Section-B: Details relating to Funds or Cash | | | | | |
|----------------------------------------------|------------|-----------|---------------------------------------|------------|------------|
| CASH SEGREGATION | | | | | |
| | Opening | Closing | | Opening | Closing |
| Trade Payable | | | Cash at Bank as per Bank Statement | 19,873,658 | 25,623,962 |
| Director / Sponsors | 601 | 601 | Bank Reconciling entries: | | |
| Employees | 0 | 0 | Un-cleared cheque | | 0 |
| Client | 10,132,046 | 8,195,861 | Un-presented cheque | | 0 |
| | | | Bank Charges | | 0 |
| | | | Entry made by bank not recorded in GL | | 0 |
| | | | Others | | 0 |
| | | | Cash at Bank as per Bank Ledger | | 25,623,962 |
| | | | Add:Other Reconciling Entries | | |
| | | | PSX Future exposure | | 0 |
| | | | PSX Ready exposure | | 0 |
| | | | Profit Held by PSX | | 0 |
| | | | SLB market exposure | | 0 |
| | | | Receivable from NCCPL | | -6,249,541 |
| | | | Concentration Margin | | 0 |
| | | | MTS finance exposure | | 0 |
| | | | Provisional Exposure | | 0 |
| | | | Others | | 0 |
| | | | Total of Reconciling Entries | | -6,249,541 |
| Grand Total | 10,132,647 | 8,196,462 | Grand Total | | 19,374,421 |

| Section-C: Details relating to amount deposited and withdrawn by clients of the brokerage house | | |
|-------------------------------------------------------------------------------------------------|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Particulars | | Amount |
| Amount deposited by the clients during the period | | 1,725,696 |
| Amount withdrawn by the clients during the period | | 1,884,000 |
| Comments (If any) | | UNDERTAKING BY THE BROKERAGE HOUSE: |
| 0 | | We hereby declare that the information furnished in this statement is true, correct and complete and understand that we shall be bound to submit to Pakistan Stock Exchange Limited (PSX), all the relevant information and/or supporting document(s) that the PSX may require to verify the above information at any time. We also declare that securities have been pledged with prior written consent/ approval of the clients. We further acknowledge and agree that in case of non-submission of this statement or submission of incorrect, inaccurate or misleading information in this statement, the PSX shall initiate disciplinary action(s) in accordance with relevant provisions of PSX Regulations. |

Sep 29, 2022 5:22 PM